

DEPARTMENT FOR THE ECONOMY

Where DoF approval (in writing) is required

Ref Number		
Where DoF approval (in writing) is required		
1	Higher Education Institutions and Further Education College Buildings – expenditure on all capital projects over £10m.	
2	Capital Projects - All other expenditure on Capital Projects involving over £20million of Central Government expenditure. This includes Financial Transactions Capital.	

LEGISLATION SPECIFIC DELEGATIONS

The following delegations are specified in legislation, and therefore require Department of Finance approval.

	Area	Circumstances when DoF approval is required	Legislative Reference
3	Further Education	For any Regulations which are made to enable grants to be paid to persons other than Boards, for educational services. Such Regulations may prescribe the rates of grants that may be paid.	The Education & Libraries (NI) Order 1986 Article 115
4	Employability Training: Payments	For any schemes enacted under the 1950 Employment and Training Act which involve payments, approval is required for the amount of those payments, the manner of determining the amount, and the terms on which the payments are made. New Schemes: Subject to agreed resource provision, DfE may introduce or assist programmes which are consistent with its agreed strategy if it considers that this will contribute to the more effective delivery of its objectives. Should DfE bid for funding for a new scheme, such a scheme will require DoF approval.	Employment and Training Act (NI) 1950 Section 1(1C)

5	Employability Training: People with Disabilities	The provision of vocational training courses for people with disabilities The provision of facilities for people with disabilities to undertake an industrial rehabilitation course Payment of travel expenses to people with disabilities undertaking a vocational training course or industrial rehabilitation course The provision of facilities and the payment of travel costs, to enable people with severe disabilities to undertake employment/work The quantity and remuneration of officers to assist with the implementation of the provisions of the Disabled Persons (Employment) Act (NI) 1945	<u>Section 2 of the Disabled Persons (Employment) Act (NI) 1945</u> <u>Section 3 of the Disabled Persons (Employment) Act (NI) 1945</u> <u>Section 4 of the Disabled Persons (Employment) Act (NI) 1945</u> <u>Section 15 of the Disabled Persons (Employment) Act (NI) 1945</u> <u>Section 18 of the Disabled Persons (Employment) Act (NI) 1945</u>
6	Industrial Relations: Certification Officer	Remuneration and travel allowances of Certification Officer for Northern Ireland Industrial Tribunals Payment of pension, allowance or gratuity to the Certification Officer on his/her death or retirement Special payment to the Certification Officer of compensation on expiry of term of office Any overarching scheme for the payment of expenses to people attending Certification Officer hearings	<u>The Industrial Relations (NI) Order 1992 Article 69(3)(b)</u> <u>The Industrial Relations (NI) Order 1992 Article 69(4)</u> <u>The Industrial Relations (NI) Order 1992 Article 69(6)</u> <u>The Industrial Relations (NI) Order 1992 Article 70(2)</u>
7	Industrial Relations: Labour Relations Agency (LRA)	Payment of fees and allowances for expenses to people appointed by the LRA for arbitration Payment of grant towards expenses incurred by any undertaking or organisation in connection with industrial relations training Payment of grant towards expenses incurred by any undertaking or organisation in connection with industrial relations research and advice Remuneration, allowances, pensions or gratuities to or in respect of the Chairman and other members of the LRA	<u>The Industrial Relations (NI) Order 1992 Article 84(8)</u> <u>The Industrial Relations (NI) Order 1992 Article 87(2)</u> <u>The Industrial Relations (NI) Order 1992 Article 88(3)</u> <u>The Industrial Relations (NI) Order 1992, Schedule 4, paragraph 7</u>

		Panel of advisors – the number of people appointed to such a panel, and their fees and allowances LRA staff – the number of people employed by the Agency (and their terms and conditions), and their pensions and gratuities. In effect, this means that any proposal to depart from NICS Terms and Conditions will require DoF approval. Approval of annual budget and form of accounts	The Industrial Relations (NI) Order 1992, Schedule 4, paragraph 9 The Industrial Relations (NI) Order 1992, Schedule 4, paragraphs 12 and 13 The Industrial Relations (NI) Order 1992, Schedule 4, paragraphs 14,15(2)
8	Industrial Relations: Industrial Court	Approval of fees/expenses of members of the Industrial Court and for other people attending the Industrial Court	The Industrial Relations (NI) Order 1992 Article 91A(8)
9	Industrial Relations: Courts of Inquiry	Remuneration and allowances for Members of a Court of Inquiry and for other people attending a Court of Inquiry	The Industrial Relations (NI) Order 1992, Article 93(3)
10	Industrial Relations: Industrial Tribunals	Approval of salaries/fees/allowances for the President/Vice President/members of Industrial Tribunals, and approval of allowances for other people attending industrial tribunals. The making of any Orders that seek to apply an interest rate to any sums payable following an Industrial Tribunal decision	The Industrial Tribunals (NI) Order 1996 Article 7 The Industrial Tribunals (NI) Order 1996 Article 16
11	Business Regulation: Consumer Council NI	Remuneration, allowances and expenses of Council Chair, Deputy Chair, and members Employment terms and conditions, including pensions. In effect, this means that any proposal to depart from NICS Terms and Conditions will require DoF approval. Approval of annual budget/expenses Form of Accounts	The General Consumer Council (NI) Order 1984 Schedule 1, paragraph 5 The General Consumer Council (NI) Order 1984 Schedule 1, paragraph 7 The General Consumer Council (NI) Order 1984 Schedule 1, paragraph 11 The General Consumer Council (NI) Order 1984 Schedule 1, paragraph 12(4)

		Land: approval for the acquisition or disposal of land.	<u>The General Consumer Council (NI) Order 1984 Schedule 1, paragraph 14</u>
12	Business Regulation: Health & Safety Executive	Appointment and remuneration of committee members, including travel and subsistence. In effect, this means that any proposals to depart from levels of remuneration and/or terms and conditions for Board Members previously agreed centrally by DoF will require further DoF approval. Remuneration for people undertaking investigations, inquiries and special reports Financial Provisions - approval of funds (through the budget and monitoring process Payment of travel, subsistence and loss of earnings for medical advisers or those attending medical examinations Staff: approval of terms and conditions of staff and members, and payment of pensions, gratuities or other like benefits (including for the Chief Executive). In effect, this means that any proposal to depart from NICS Terms and Conditions will require DoF approval. Form of Accounts	<u>The Health & Safety at Work (NI) Order 1978, as amended by the Health and Safety at Work (Amendment) (NI) Order 1998</u> <u>Health and Safety at Work (Northern Ireland) Order 1978 Article 15(1)(c) and (d)</u> <u>Health and Safety at Work (Northern Ireland) Order 1978 Article 16(6)</u> <u>Health and Safety at Work (Northern Ireland) Order 1978 Article 40(1)</u> <u>Health and Safety at Work (Northern Ireland) Order 1978 Article 48(6)</u> <u>Health and Safety at Work (Northern Ireland) Order 1978 Schedule 2 Paragraph 8</u> <u>Health and Safety at Work (Northern Ireland) Order 1978, Schedule 2 Paragraph 15 (1) and (3)</u> <u>Health and Safety at Work (Northern Ireland) Order 1978, Schedule 2 Paragraph 18 (2)</u>
13	Invest NI	Remuneration, allowances and compensation for board members Remuneration, allowances and pensions for employees. In effect, this means that any proposal to depart from NICS Terms and Conditions will require DoF approval. Departmental payments and financial provisions	<u>The Industrial Development Act (NI) 2002, Schedule 1 paragraph 4</u> <u>The Industrial Development Act (NI) 2002, Schedule 1 paragraph 7</u> <u>The Industrial Development Act (NI) 2002, Schedule 1 paragraph 16</u>

		Form of accounts Provision of financial assistance for basic services Grants for stimulating or assisting industrial development Transfer to Department of publicly owned securities Write-offs and reductions Financial assistance for relevant companies Assurances, indemnities or guarantees Acquisition of securities Expenditure for advice and assistance	<u>The Industrial Development Act (NI) 2002, Schedule 1 paragraph 17</u> <u>Industrial Development (NI) Order 1982, Part III, Article 12</u> <u>Industrial Development (NI) Order 1982, Part VI, Article 30</u> <u>Industrial Development (NI) Order 1982, Part VI, Article 31</u> <u>Industrial Development (NI) Order 1982, Part VI, Article 34</u> <u>The Aircraft and Shipbuilding Industries NI Order 1979, Article 11</u>
14	Tourism NI	Arrangements for the Department for the Economy to issue directions to the Board Selective Financial Assistance Scheme Transfer of tourist amenity or land to the National Trust Determinations of the Department	<u>The Tourism (NI) Order 1992, Part II, Article 5(1)</u> <u>The Tourism (NI) Order 1992, Part III, Article 11</u> <u>The Tourism (NI) Order 1992, Part V, Article 31</u> <u>The Tourism (NI) Order 1992, Schedule 1, paragraph 14</u>
15	Minerals and Petroleum Branch	The granting of a mining lease in specified circumstances The making of a mining facilities order Any regulations prescribing fees under subsection (1)(c) of the Act	<u>Mineral Development Act (NI) 1969</u>
16	EU Fund Management	The establishment of a Special EU Programmes Body and the provision of grants to that body	<u>The North/South Co-operation (Implementation Bodies) (Northern Ireland) Order 1999</u>
17	InterTradeIreland	The provision of grants to the North/South Body	<u>The North/South Co-operation (Implementation</u>

			Bodies) (Northern Ireland) Order 1999
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INVEST NI

1. SELECTIVE FINANCIAL ASSISTANCE

	Delegation		
Total Assistance	over £15m		
Capital Grant			
GGE Maximum 01 Jan 2011 – 1 Dec 2013			
	Company Size		
Area	Large	Medium	Small
Inner Belfast	10%	20%	30%
Rest of NI	15%	25%	35%
Repeat assistance within 5-year period for same project	No delegation		No delegation
	Mobile/Non-Mobile Projects		
Share acquisition	Over £6m and/or 51% or more of voting rights.		
Loans	Interest or capital free period of more than 2 years.		
Buying Time/Assistance	Over £1m and/or loan cost per job of over £5k for up to 6 months.		

2. GRANT FOR INNOVATION AND R&D

	Delegation
Total Assistance	Over £15m

3. GENERAL PROGRAMMES

	Delegation
General Capital/Programme Delivery	over £15m
Operating Grant	3-year package over £500k or over £200k in any of the first 3 years.

4. PROPERTY ASSISTANCE

Note: The following delegated limits apply to stand-alone property cases i.e. where property assistance is the only form of assistance to a project. Where property assistance is part of a wider package of assistance, the section 1 project assistance delegated limits apply.	
Property Development Agreements	over £1m
Land Acquisition	over £3m
Estate Development	over £3m
Advance Factories	over £2m
Bespoke Factories	over £2m
Maintenance/minor alterations/repairs to factories	over £250k per factory on aggregate basis over 3yrs
Insurance Claims	over £100k per claim
Shortfall Guarantee Scheme	
- Overall contingent liability	over £11.5m
- Individual contingent liability	over £500k

5. TOURISM PROJECTS

Private Sector

Grant Loan Interest Relief Grant Acquisition of shares	Over 30% of eligible costs or over £0.75m.
Total Assistance	Over 30% eligible costs for projects or over £0.75m.

TOURISM NI

7. TOURISM DEVELOPMENT SCHEME

Private Sector	
Grant Loan Interest Relief Grant Acquisition of shares	Over 30% of eligible costs <i>or</i> over £0.75m.
Total Assistance	Over 30% eligible costs for projects or over £0.75m.
Public and Voluntary/Community Sectors	
50% of eligible costs (EU and IFI contributions included in limit) <i>and</i> over £0.75m.	

REVENUE BASED PROJECTS

Tourism Innovation Fund	
Private sector projects	up to 50% of eligible costs
Public and community and voluntary sector projects	up to 75% of eligible costs
Events Fund	
Revenue Grant	Up to 50% for private sector and public sector projects
	Up to £500k in relation to any one event