

## TEMPLATE FOR APPLYING FOI SECTION 40(2) OR EIR 12(3) 13(1)

DOF/2022-0455

### The lawfulness, fairness and transparency test

Business areas are required to carry out a “lawfulness, fairness and transparency test” when considering the disclosure of third party personal data falling within the scope of a FOI or EIR request. **In most cases**, neither of the lawful basis outlined below will apply, however the business area must complete the test as evidence as having considered.

The steps are as follows:-

#### 1. Consider the Personal Data falling within the scope of the request

ICO guidance states that, personal data only includes information relating to natural persons who:

- can be identified or who are identifiable, directly from the information in question; or
- who can be indirectly identified from that information in combination with other information.

The request is for:

*I wish to request: A copy of all/any correspondence between Lisahally Service Centre Management and NICS HR Resourcing/Vacancy Management regarding filling two standard working pattern Deputy Principal vacancies.*

Colleague’s names are displayed in the Funding & Headcount Forms and correspondence to be released as part of the FOI response.

DoF Policy is to redact colleague’s names who are of grades lower than G7.

ICO guidance states,

“even in relation to their public life there will be times where there will still be a reasonable expectation of privacy. An individual’s reasonable expectation will depend on a number of factors:

- how senior is their role;
- is their role public facing – in the sense that they have responsibility for explaining the policies or actions of their organisation to the outside world;
- do they have responsibility for making decisions on how public money is spent; and
- what is the nature of the information.”

In this instance the colleagues names that have been redacted are below G7 and would therefore have a reasonable expectation that their privacy is maintained in relation to the work being completed.

**Brief description:**

The data held is personal, third party data but does not constitute special category data.

**2. Lawfulness**

Do either of the two lawful basis below which allow for the disclosure of personal data apply?

Answer: **No**

- Consent:** We do not have the consent of the colleagues to share their names or contact details. Releasing the requested information would risk identifying the individuals and would therefore contravene the data protection principles.
  
- Legitimate interests:** Disclosure is not necessary for the Department's legitimate interests or the legitimate interests of a third party that overrides the data subject(s) rights and freedoms, particularly their right to privacy. The data subjects involved would have a reasonable expectation that this information would not be shared with the public at large.

The DoF Privacy notice states that we may process personal information for the purposes of detection and investigation of suspected or actual fraud, loss or crime, data matching under the National Fraud Initiative, staff monitoring and as required by other legislation. This request is based solely on the requester's private concerns as opposed to a pressing public interest that would necessitate transparency and disclosure of the information.

Consequently, if NICSHR were to comply with the request, we would, in effect, be making an unrestricted disclosure of personal data to the general public on the strength of the requester's private interests. This could constitute a disproportionate and unwarranted level of interference with the individuals' rights and freedoms – particularly their right to privacy and family life under the Human Rights Act 1998.